

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

KENNETH HINES

Application No.: 09/886,479

Filed: June 20, 2001

For: **COORDINATION-CENTRIC
FRAMEWORK FOR SOFTWARE
DESIGN IN A DISTRIBUTED
ENVIRONMENT**

Art Group: 2126

Examiner: Lewis A. Bullock, Jr.

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a Notice of Allowance. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

It is hereby stated that no item of information contained in the Information Disclosure Statement was cited in a patent office in a counterpart application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual associated with the filing or prosecution of the subject application more than three months prior to the filing of the Information Disclosure Statement.

01/24/2003 MMG/EMC 00000012 09886479

00 1021605

100.00 EP

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Gregory D. Caldwell, Reg. No. 39,926

12400 Wilshire Boulevard, 7th Floor
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I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Gayle M. Bekish

01-14-05

Date



Substitute for form 1449A/PTC

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1 A

<i>Complete if Known</i>	
Application Number	09/886,479
Filing Date	June 20, 2001
First Named Inventor	Kenneth Hines
Art Unit	2126
Examiner Name	Lewis A. Bullock, Jr.
Attorney Docket Number	42390P18907

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner
Signature

Date
Considered

* Examiner: Initial if reference considered whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

Applicant's unique citation designation number (optional): See *Kinds Codes of USPTO Patent Documents* at www.uspto.gov or MPEP 601.04. Enter Office that issued the document by the two-letter code (WPO Standard ST 3). For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WPO Standard ST 16, if possible. Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (03-03) as modified by Blakely, Schickoff, Taylor & Zafman (w/3) 03/11/2003

Send To: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450



TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application No.	09/886,479
		Filing Date	June 20, 2001
		First Named Inventor	Kenneth Hines
		Art Unit	2126
		Examiner Name	Lewis A. Bullock, Jr.
Total Number of Pages in This Submission	6	Attorney Docket Number	42390P18907

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input checked="" type="checkbox"/> PTO/SB/08 <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; height: 40px; width: 100%;">Return Postcard</div>
<div style="border: 1px solid black; height: 40px; width: 100%;"></div>		
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Gregory D. Caldwell, Reg. No. 39,926 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	January 14, 2005

CERTIFICATE OF MAILING/TRANSMISSION

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Typed or printed name	Gayle M. Bekish		
Signature		Date	January 14, 2005



FEET TRANSMITTAL for FY 2005

Patent fees are subject to annual revision

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$)
180.00

Complete if Known

Application Number	09/886,479
Filing Date	June 20, 2001
First Named Inventor	Kenneth Hines
Examiner Name	Lewis A. Bullock, Jr.
Art Unit	2126
Attorney Docket No.	42390P18907

METHOD OF PAYMENT (check all that apply)

Check Credit card Money Order None Other (please identify): _____

Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee
 Charge any additional fee(s) or underpayment of fee(s) Credit any overpayments
 under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

FEE CALCULATION

Large Entity Small Entity

Fee Code(\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	2051	65	Surcharge - late filing fee or oath	
1052	2052	25	Surcharge - late provisional filing fee or cover sheet.	
2053	2053	130	Non-English specification	
1251	2251	60	Extension for reply within first month	
1252	2252	225	Extension for reply within second month	
1253	2253	510	Extension for reply within third month	
1254	2254	795	Extension for reply within fourth month	
1255	2255	1,080	Extension for reply within fifth month	
1401	2401	250	Notice of Appeal	
1402	2402	250	Filing a brief in support of an appeal	
1403	2403	500	Request for oral hearing	
1451	2451		Petition to institute a public use proceeding	
1460	2460	130	Petitions to the Commissioner	
1807	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	1806	180	Submission of Information Disclosure Stmt	
1809	1809	395	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))	180.00

Other fee (specify) _____

SUBTOTAL (2)

(\$)
180.00

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Gregory D. Caldwell	Registration No. (Attorney/Agent)	39,926	Telephone	(503) 439-8778
Signature				Date	01/14/05